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PTO/SB/B1 (02-01)

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|                        |                                    |
|------------------------|------------------------------------|
| Application Number     | 09/740,465                         |
| Filing Date            | 12/19/2000                         |
| First Named Inventor   | OLSEN                              |
| Title                  | Regenerating Spent Pickling Liquor |
| Group Art Unit         | 1754                               |
| Examiner Name          | S.J. Bos                           |
| Attorney Docket Number |                                    |

I hereby appoint:

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(215) 321-6772

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
**SIGNATURE of Applicant or Assignee of Record**

Name

Marc Bornn - President GTG

Signature

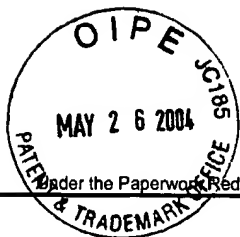
Date

5/19/04

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ Total of \_\_\_\_\_ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Approved for use through 07/31/2006. OMB 0651-0031  
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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: GREEN TECHNOLOGY GROUP, INC

Application No./Patent No.: 09/740,465 Filed/Issue Date: 12/19/00

Entitled: REGENERATING SPENT PICKLING LIQUID

GREEN TECHNOLOGY GROUP, INC, a CORPORATION  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: DOUG OLSEN To: GREEN TECHNOLOGY GROUP, INC

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
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[ ] Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

5/19/04

Date

\_\_\_\_\_

Telephone number

ERIC LAMORTE

Typed or printed name

[Signature]

Signature

ATTORNEY FOR APPLICANT

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Docket No. C1069-7006

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

FORM PTO-1595 U.S. (modified)

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Douglas R. Olsen  
228 North Quaker Hill Road  
Pawling, NY 12564Charles D. Blumenschein  
905 Ruth Street  
Pittsburgh, PA 15243Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

## 3. Nature of conveyance:

☒ Assignment (Copy) ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Dates: 9/26/03 and 10/1/02

## 2. Name and address of receiving party(ies)

Name: United States Filter Corporation  
40-004 Cook Street  
Palm Desert, CA 92211Green Technology Group, Inc.  
228 North Quaker Hill  
Pawling, NY 12564Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s): 09/740,465 filed December 19, 2000

If this document is being filed together with a new application, the execution date of the application is  
A. Patent Application No.(s) B. Patent No.(s)Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
Concerning document should be mailed:Name: Peter C. Lando, Esq.  
Address: LOWRIE, LANDO & ANASTASI, LLP  
Riverfront Office Park  
One Main Street, 11<sup>th</sup> Floor  
Cambridge, MA 02142

## 6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit accountThe Commissioner is authorized to charge any  
deficiencies in the enclosed payment to:

8. Deposit Account No: 50/0214

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## 9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Elias Domingo

September 30, 2003

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: [5]

Mail documents to be recorded with required cover sheet information to (modify as appropriate):

COPY

## ASSIGNMENT

For good and valuable consideration, the sufficiency of which is hereby acknowledged, we, the undersigned, Douglas R. Olsen, 228 North Quaker Hill Road, Pawling, New York 12564 (ASSIGNOR), hereby:

Sell, assign and transfer to GREEN TECHNOLOGY GROUP, INC., a New York corporation having a place of business at 228 North Quaker Hill, Pawling, New York 12564 (ASSIGNEE), their successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on December 19, 2000 under Serial No. 09/740,465 and entitled

### **REGENERATING SPENT PICKLING LIQUOR,**

and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority;

Agree that said Assignee may apply for and receive Letters Patent for said inventions in their own name; and when requested, without charge to but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything reasonably possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee;

Request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee; and

Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

COPY

September 26, 2003  
Date

Douglas R. Olsen  
Douglas R. Olsen

STATE OF New York  
COUNTY OF Dutchess

SEAL

DEBRA A. WEISS  
Notary Public, State of New York  
No. 01WE5059044  
Qualified in Dutchess County  
Commission Expires April 22, 06

Debra A. Weiss  
Notary Public  
My Commission Expires 4/22/06